



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,869	12/11/2001	Kevin P. Baker	P2830P1C45

JUN 25 2002

PATENT &amp; TRADEMARK OFFICE

CONFIRMATION NO. 9681

## FORMALITIES LETTER



\*OC000000007677627\*

Ginger R. Dreger  
Knobbe Martens Olson & Bear  
201 California Street, Suite 1150  
San Francisco, CA 94111

Date Mailed: 03/20/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



03004  
PATENT  
Box 504

Case Docket No. GNE.2830P1C45

Date: June 20, 2002

Page 1

In re application of : Baker et al.  
App. No. : 10/015,869  
Filed : December 11, 2001  
For : SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND  
NUCLEIC ACIDS  
ENCODING THE SAME  
Examiner : Unknown  
Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 2327, Arlington VA 22202, on

June 20, 2002

(Date)

Ginger R. Dreger, Reg. No. 33,055

United States Patent and Trademark Office  
PO Box 2327  
Arlington VA 22202

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below:

CLAIMS AS FILED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims	20	20	= 0 ×	\$18	= \$0
Independent Claims	3	3	= 0 ×	\$84	= \$0
If application has been amended to contain multiple dependent claim(s), then add				\$280	= \$0
1 -month Time Extension Fee					\$110
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$110

- (X) Second Preliminary Amendment in 1 page;
- (X) Sequence Submission Statement;
- (X) Substitute Sequence Listing in 405 pages;

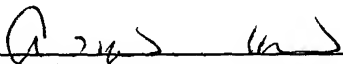
PATENT

Case Docket No. GNE.2830P1C45

Date: June 20, 2002

Page 2

- 
- (X) Substitute Sequence Listing in computer readable form;
  - (X) Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
  - (X) Check for Extension of Time fees in the amount of \$110;
  - (X) Correction of address
  - (X) Return prepaid postcard;
  - (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

  
\_\_\_\_\_  
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record